

## Constraints on individual judgments

## Some aggregation rules

- Quota rules (for all propositions, group judgment is T iff the proportion of T votes exceeds proportion  $p$ )
  - **Majority** ( $p = 0.5$ )
  - **Super-majority** ( $0.5 < p < 1$ )
  - **Unanimity** ( $p = 1$ )
- Undemocratic rules (some people get more of a say)
  - **Oligarchy** (only  $1 < m < n$  people get a say)
  - **Dictatorship** (only 1 person gets a say)
- Topic-dependent rules (different requirements for different propositions)
  - **Constant** (certain group-level judgments on certain propositions are mandated whatever the votes)

## The 'Discursive Dilemma' (ctd)

- Consider a panel giving advice on various claims relating to global warming, with **E** = Emissions are above some specific threshold and **T** = Global temperature will increase by some specific number of degrees by a specific date

|                 | <i>E</i> | $E \rightarrow T$ | <i>T</i> |
|-----------------|----------|-------------------|----------|
| Person 1        | T        | T                 | T        |
| Person 2        | T        | F                 | F        |
| Person 3        | F        | T                 | F        |
| <b>Majority</b> | T        | T                 | F        |

## The 'Discursive Dilemma'

- The CJT: Under certain conditions, majority voting on agendas with a single proposition yields very reliable results
- Now the bad news:

Majority voting on sets of **logically interdependent propositions** can lead to **inconsistent** group judgments

(Logically interdependent propositions: propositions such that some combinations of truth values are not possible)
- Originally in Kornhauser & Sager (1986) ('Doctrinal Paradox'); appeared in philosophy in Pettit (2001) ('Discursive Dilemma')
- Related to a famous observation of Condorcet's regarding majority voting on **preferences**

## A general result

- As List points out, there are *lots* of conceivable aggregation procedures:

For an agenda with only one proposition, there are  $2^n$  combinations of individual judgments, each of which can be mapped to a different output:  $2^{2^n}$  procedures in total!
- Question: Might we be able to find one that fares better than majority voting?
- A prima facie worrying result:

Any procedure that satisfies a number of seemingly compelling conditions is *also* liable to violate:

**OUTPUT CONSISTENCY:** The aggregation procedure always generates as output *consistent* judgments on the propositions

## The seemingly compelling conditions

**OUTPUT DECISIVENESS:** The aggregation procedure always generates as output *complete* judgments on the propositions

**ANONYMITY:** All individuals' attitudes are given equal weight in determining the group attitudes

**SYSTEMATICITY:** The collective judgment on each proposition depends only on the individual judgments on it (**INDEPENDENCE**), and the pattern of dependence is the same across propositions (**NEUTRALITY**).

## The principles vs sample procedures

- Undemocratic rules rules violate ANONYMITY

|          | A | B |          | A | B |
|----------|---|---|----------|---|---|
| 1 (D)    | T | T | 2        | T | T |
| 2        | F | F | 1 (D)    | F | F |
| Dictator | T | T | Dictator | F | F |

(swapping the names on the inputs yields different outputs)

- Constant rule violates SYSTEMATICITY (NEUTRALITY)

|          | A | B |
|----------|---|---|
| 1        | T | T |
| 2        | F | F |
| Constant | T | F |

(A and B are treated differently, despite identical patterns of votes)

## The principles vs sample procedures (ctd)

- We've seen that quota rules (except Unanimity) violate OUTPUT CONSISTENCY
- They also violate OUTPUT DECISIVENESS

|                       | A | $A \rightarrow B$ | B |
|-----------------------|---|-------------------|---|
| 1                     | T | T                 | T |
| 2                     | T | F                 | F |
| 3                     | F | T                 | F |
| 3                     | F | F                 | T |
| Majority              | - | -                 | - |
| Super-Majority of 0.9 | - | -                 | - |
| Unanimity             | - | -                 | - |

- Note: Majority satisfies OUTPUT DECISIVENESS for the special case in which  $n$  is odd

## List & Pettit's "impossibility" theorem

- A key theorem from List & Pettit (2002):

No aggregation procedure satisfies OUTPUT DECISIVENESS, ANONYMITY, SYSTEMATICITY, and OUTPUT CONSISTENCY

- Note that related 'impossibility' results have long been established wrt preference aggregation (e.g. Arrow's Theorem)
- At least one thing has to go!

## References

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- Kornhauser, L.A., & L.G. Sager 1986: Unpacking the Court. *Yale Law Journal* 96: 82–117.
- List, C. 2005: The probability of inconsistencies in complex collective decisions. *Social Choice and Welfare*, 24(1): 3–32.
- Pettit, P. 2001: Deliberative Democracy and the Discursive Dilemma. *Philosophical Issues* 11: 268–99.